

## ARCHITECTURE OF *Kepler*'s MULTI-TRANSITING SYSTEMS: II. NEW INVESTIGATIONS WITH TWICE AS MANY CANDIDATES

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### ABSTRACT

Having discovered 885 planet candidates in 361 multiple-planet systems, *Kepler* has made transits a powerful method for studying the statistics of planetary systems. The orbits of only two pairs of planets in these candidate systems are apparently unstable. This indicates that a high percentage of the candidate systems are truly planets orbiting the same star, motivating physical investigations of the population. Pairs of planets in this sample are typically not in orbital resonances. However, pairs with orbital period ratios within a few percent of a first-order resonance (e.g. 2:1, 3:2) prefer orbital spacings just wide of the resonance and avoid spacings just narrow of the resonance. Finally, we investigate mutual inclinations based on transit duration ratios. We infer that the inner planets of pairs tend to have a smaller impact parameter than their outer companions, suggesting these planetary systems are typically coplanar to within a few degrees.

*Subject headings:* planetary systems; planets and satellites: detection, dynamical evolution and stability; methods: statistical

### 1. INTRODUCTION

Subsequent to the fortuitous discovery of an exoplanetary system around a pulsar via timing its pulses (Wolszczan & Frail 1992), the radial velocity technique has been the dominant contributor to our understanding of the architectures of planetary systems. This technique has revealed both systems with numerous planets and systems with dynamically rich architectures (Butler et al. 1999; Fischer et al. 2008; Rivera et al. 2010; Lovis et al. 2011) and enough planetary systems to perform statistical analyses of the ensemble (Wright et al. 2009). Given that multiple planets are the most frequent outcome of planet formation among the super-Earth and Neptune classes of planets (Mayor et al. 2011), the number of systems for study will continue to rise sharply as new

Doppler sensitivities and time baselines are reached.

Nevertheless, the exoplanet community has just experienced a windfall of planetary systems via the transit technique, courtesy of NASA's *Kepler* mission. The *Kepler* team is in the process of vetting candidates to rule out false positives, with a special emphasis on multi-planet candidates, which has the promise of yielding a high-fidelity ( $\gtrsim 98\%$ ) catalog of many hundreds of planetary systems (Lissauer et al. 2012).

Previously, the *Kepler* team presented planetary candidates discovered in the first four months of mission data Borucki et al. (2011a,b). Now, Batalha et al. (2012; hereafter B12) has identified candidates using the first 16 months of data. Contemporary with the previous catalog, Lissauer et al. (2011b) (hereafter Paper I) examined the dynamics and architectures of the candidate multi-planet systems. This paper extends the investigation of Paper I to the new catalog of candidates. It also pursues two additional studies: quantification of the fidelity of these systems based on their apparent orbital stability and the mutual inclinations of planets based on their transit duration ratios.

We begin by defining the sample of planet candidates (§ 2), in particular how we choose particular planet candidates to omit or update. Next (§ 3.1) we call attention to a few closely-packed planetary pairs and investigate two- and three-planet resonances. We discuss to what extent the sample of candidates obeys orbital stability constraints (§ 3.2), which has implications for its purity as being composed of real planetary systems (§ 3.3). The statistics of period ratios is examined in § 4. In § 5, we find that the transit duration ratios in multiplanet systems limit the typical mutual inclinations to just a few degrees. Finally, we recapitulate the results and draw comparisons to the Solar System (§ 6).

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## 2. THE SAMPLE

The sample is based on the KOI (*Kepler* object of interest) list in the appendix of B12. Stellar masses are obtained from the reported  $\log g$  and stellar radius. We have not included some additional candidates given in the papers of Ford et al. (2012); Steffen et al. (2012); Fabrycky et al. (2012), as these were found by extraordinary searching beyond the standard pipeline applied to Q6 data.

In addition, we omitted a number of candidates for various reasons. Planets with uncertain transit periods from section 5.4 of B12 were omitted. We culled from the sample the same candidates mentioned in section 1 of Paper I. KOI-245.04 was discarded; it has low SNR (11.5) and poor reduced  $\chi^2 = 2.11$ , thus we attribute it to red noise.

We omitted planet candidates that are based on single transits, as their periods are too uncertain for the purposes of this paper; these are denoted by negative periods in B12.

For our analysis, we also revised the stellar and planetary properties of some candidates, as follows.

We updated the period of KOI-2174.03 as described in section 3.1.

In the new catalog, KOI-338 had a large change in stellar radius ( $1 \rightarrow 19 R_\odot$ ), due to  $\log g$  determination using a new spectrum. There is no pulsational signal which generally accompanies a giant star, however, and the transit durations match much better with the radius of a dwarf star. We suggest either the spectroscopic result is in error, or the candidates are planets orbiting a background dwarf. For the stellar parameters we thus use a new analysis of the photometry in the *Kepler* Input Catalog (Brown et al. 2011), yielding  $M_\star = 0.96 M_\odot$  and  $R_\star = 1.65 R_\odot$ . We scaled down the planet candidate sizes accordingly.

The stellar properties and radii of the planets in KOI-961 were updated to agree with Muirhead et al. (2012). Similarly, the depth of the transit signal is expected to closely match the fractional occulting area, and cases in which this is not true are likely poorly-conditioned fits. Planetary radii  $R_p$  are generally adopted from B12, but values outside the range  $0.8\text{--}1.5 R_\star \sqrt{\text{Depth}}$  were set to the nearest value of that range, using stellar radius  $R_\star$  and Depth reported by B12. This caused a downward revision of 4 and an upward revision of 6 planet radii for candidates within multiple systems.

With these changes from B12, the multiplicity statistics of systems of planet candidates are 1405 single systems, 242 double systems, 85 triple systems, 25 quadruple systems, 8 quintuple systems, and 1 sextuple system. The orbital period ratios (for section 4) and Hill spacings (for section 3.2) are given<sup>14</sup> in Tables 1-5. Overall, the number of multiple-planet systems approximately doubled from Paper I, and the biggest fractional increase was seen in the quadruples ( $8 \rightarrow 25$ ) and quintuples ( $1 \rightarrow 8$ ). We represent the periods and sizes of the systems of three or more planets in figure 1.

<sup>14</sup> Note that the labels “1”, “2”, etc. in these tables order the planets by increasing orbital period and do not always correspond to the planet discovery order of the KOI numbers “.01”, “.02”, etc.

## 3. DYNAMICS OF THE NEW SYSTEMS

Here we first discuss some special cases of planetary systems that are especially tightly packed, then step back to survey the stability properties and fidelity of the whole sample.

3.1. *Closely-spaced planets and other interesting systems*

Here we discuss some of the dynamically interesting systems that are present in the new dataset.

The closest new pair of new candidates are .01 and .04 in KOI-2248 with a period ratio of 1.065. In systems with transits detected at low signal-to-noise ratio, we must consider that some subset of the transits were not detected, or spurious transits were detected, modifying the period of the candidate (an alias). We checked aliases at periods  $1/4$ ,  $1/3$ ,  $1/2$ ,  $2$ ,  $3$ , and  $4$  times the nominal period by polynomial-detrening with the transits masked out, then measuring the depth of the signal at locations implied by those periods. The signals are consistent with the reported periods for these planets. The pair (.01 and .02) would be hard-pressed to remain stable if both these planets are around the same star, the same situation as for KOI-284 (Paper I, Lissauer et al. 2012, Bryson et al. in prep; candidates 284.02 and 284.03 have a period ratio of 1.038). The likely alternatives are that (a) one or both candidates is actually a blended eclipsing binary, (b) the two are true planets, but orbiting different members of a wide binary star. One simple test we can consider is whether the ratio of orbital-velocity normalized transit durations:

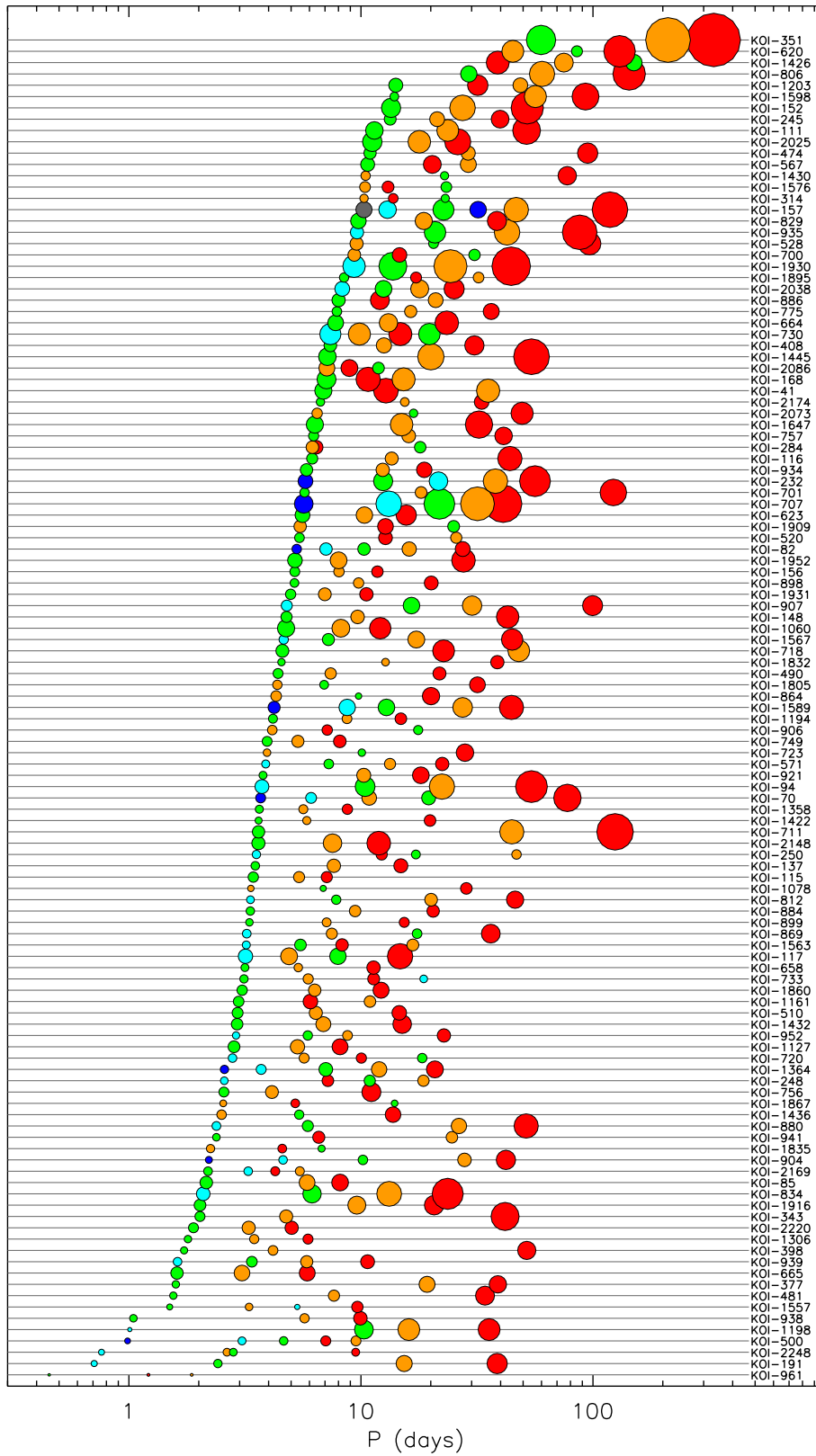
$$\xi \equiv \frac{T_{\text{dur},1}/P_1^{1/3}}{T_{\text{dur},2}/P_2^{1/3}} \quad (1)$$

is near unity (where  $T_{\text{dur}}$  is the transit duration,  $P$  is the orbital period)<sup>15</sup>, in which case it is more likely the planets are orbiting stars of equal density (perhaps the *same* star; Lissauer et al. 2012). For the unstable pairs in KOI-284 and KOI-2248, the value of  $\xi$  is 0.96 and 0.97 respectively, which does not provide independent evidence of them orbiting different stars. However, it suggests that if the planets are orbiting different stars in a physical binary, these two stars likely have similar type and might be resolvable – this has already been achieved for KOI-284 (Lissauer et al. 2012).

The next closest pair is KOI-2174, with a period ratio 1.1542 between .03 and .01. We performed the same alias check as above. Contrary to that case, every other transit of the smallest planet .03 is less deep and is marginally consistent with zero ( $509 \pm 57$  ppm versus  $105 \pm 63$  ppm). Therefore we adopt the ephemeris  $\text{BJD} = 15.4502 \times E + 245509.8024$ , where  $E$  is an integer, a period-doubling.

As we continue to wider period ratios, we no longer find reason to disbelieve the following systems are truly multiple planets orbiting an individual star, but note instead that their closely-packed nature makes them dynamically interesting.

<sup>15</sup> Here and elsewhere, when referring to pairs of planets, we shall use “1” and “2” to denote the inner and outer planets of that pair respectively, even if there are other planets in the system and the specific pair is not the innermost two.



**Figure 1.** Systems of three or more planets. Each line corresponds to one system, as labelled on the right side. Ordering is by the innermost orbital period. Planet radii are to scale relative to one another, and are colored by decreasing size within each system: red, orange, green, light blue, dark blue, gray.

KOI-1665 has a period ratio 1.17219 between .01 and .02. These are small candidates (1.2 and 1.0  $R_{\oplus}$ ) around a solar-type star, so the alias check above is not as powerful, however it raises no suspicion of the periods being incorrect. Given the planets’ small sizes, they may also be small mass, so even this extreme period ratio may be dynamically stable on the long term.

KOI-262 has a nearly exact 6:5 commensurability, with a period ratio of  $1.20010 \pm 0.00003$ . The transits are well-defined, and we judge both candidates as secure detections at the correct periods.

All other planet pairs have period ratios  $> 1.25$ . In fact, in section 4, we will note that there may be a “pile-up” just wide of that period ratio, with sizes between Earth and Neptune. Kepler-11b and c (Lissauer et al. 2011a) is the confirmed example of this variety.

We also checked for potential 3-body resonances among planets in systems of higher multiplicity. Following Quillen (2011), we searched for small values of the frequency

$$f_{3\text{-body}} = pf_1 - (p+q)f_2 + qf_3, \quad (2)$$

where  $f_1$ ,  $f_2$ , and  $f_3$  are the orbital frequencies (inverse periods) of three planets (estimated via the average transit periods) and  $p$  and  $q$  are integers. We recovered the resonant chain of KOI-730, four planet candidates with spacings near 4:3, 3:2, and 4:3 resonances as described by Paper I. We also found KOI-2086, whose three planets are also in a chain of first-order resonances,  $f_1 : f_2 = 5 : 4$  and  $f_2 : f_3 = 4 : 3$ , an even more packed configuration. Here both pairs are offset by the same amount from the 2-body resonances:

$$4f_1 - 5f_2 = (-30 \pm 9) \times 10^{-5} \text{day}^{-1}, \quad (3)$$

$$3f_2 - 4f_3 = (-26 \pm 5) \times 10^{-5} \text{day}^{-1}, \quad (4)$$

such that the combined 3-body frequency  $f_{3\text{-body}}$ , with  $(p, q) = (1, 1)$ , is  $(1 \pm 1) \times 10^{-5} \text{day}^{-1}$ . This is considerably closer to zero than its 2-body equivalents, suggesting it could have additional dynamical significance. Thus this case is intermediate between the chain of resonances in KOI-730 and the case of KOI-500 (as described in Paper I), whose planets are offset from the 2-body resonances, yet strongly in 3-body resonances. Another case of a three-body resonance is KOI-720. In that system there are no close 2-body resonances, yet the planets 720.01, 720.03, and 720.04 have  $f_{3\text{-body}} = -5f_{.01} + 3f_{.03} + 2f_{.04}$ , of  $(0 \pm 5) \times 10^{-5} \text{day}^{-1}$ . This is despite the period of .02 being intervening among them. Thus if this three-body resonance really has dynamical significance, it is despite the close presence of yet another planet.

There are two systems with candidate planets consisting of only one transit. KOI-490 is a 4-planet system including a possible gas giant displaying one transit. The single transit has a duration 4.9-6.8 times longer than the three short-period planets, suggesting that if  $\xi \simeq 1$  (i.e. eccentricities are low and impact parameters are not near unity) the outer body’s period should be  $\sim 1300$  days. We do not include this additional planet in the remaining statistics of this paper, because its period is too crudely estimated; we analyze KOI-490 as a 3-planet system. The only other system with this trait is KOI-435, a 2-planet system. Since KOI-435.02 only displays one transit, we drop this system from the analysis

altogether.

### 3.2. Stability of Multiple-Candidate systems

Next, we investigate stability of the candidate systems by proposing a mass-radius relationship, as in Paper I. It is subject to the caveats that (a) the planetary radii  $R_p$  scale with the uncertain stellar radii, and (b) we anticipate real planets have a diversity of structures (e.g., Wolfgang & Laughlin 2011). Nevertheless, we chose a simple power-law relationship for planetary masses  $M_p = M_{\oplus}(R_p/R_{\oplus})^{\alpha}$ , where  $M_{\oplus}/R_{\oplus}$  are the mass/radius of the Earth,  $\alpha = 2.06$  for  $R_p > R_{\oplus}$  and  $\alpha = 3$  for  $R_p \leq R_{\oplus}$ . The choice for large planets is motivated by Solar System planets: it is a good fit to Earth, Uranus, Neptune, and Saturn. Continuing the power-law below Earth would mean smaller rocky planets are more dense, which is not likely a common outcome, so instead we choose a constant density (Earth’s).

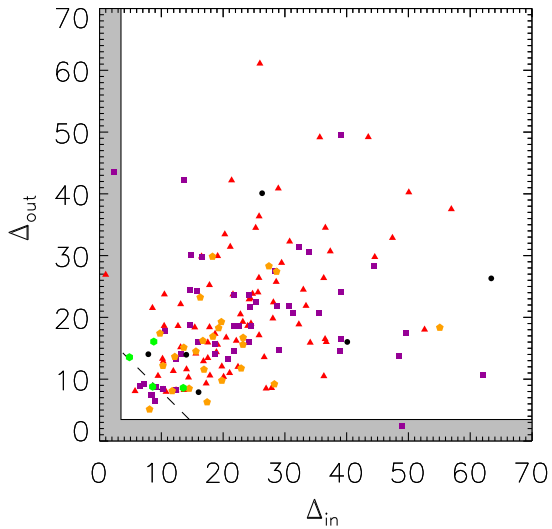
As noted in Paper I, in two-planet systems there exists an analytic stability criterion called Hill stability, in which the planets are forbidden from obtaining crossing orbits (e.g., Marchal & Bozis 1982). The relevant length scale is called the mutual Hill radius:

$$R_{H1,2} = \left[ \frac{M_1 + M_2}{3M_{\star}} \right]^{1/3} \frac{(a_1 + a_2)}{2}, \quad (5)$$

between two planets indexed by 1 and 2,  $M$  are their masses and  $a$  are their semi-major axes, and  $M_{\star}$  is the mass of the stellar host. If the two planets begin on circular orbits with orbital separation in units of mutual Hill radius:  $\Delta \equiv (a_2 - a_1)/R_{H1,2} > 2\sqrt{3}$ , then they are Hill stable (Gladman 1993). Values of  $\Delta$  are given for the observed pairs in doubles in Table 1. All candidate systems obey this stability criterion, so we judge them to be plausibly stable.

There is no analytic stability criterion for the systems with more than two planets. However, in systems of three or more planets, instability time scales generally increase with separation, as in the two-planet case (Chambers et al. 1996). In Paper I, we numerically integrated all the previous catalog’s systems of more than two planets, starting from circular, coplanar orbits with a power-law mass-radius relationship. In addition to each pair obeying two-planet stability criteria, we developed  $\Delta_{\text{in}} + \Delta_{\text{out}} > 18$  as a conservative heuristic criterion, where the “in” and “out” subscripts pertain to the inner pair and the outer pair of three adjacent planets. In figure 2, we plot the  $\Delta$ s for inner and outer pairs of threesomes. There are a number of systems (Tables 2-5) with adjacent triples that do not satisfy that criterion (in addition to the pairwise criterion above). For all such systems that we have not already examined elsewhere (Paper I, Lissauer et al. 2012) — specifically, for KOI-620, 1557, and 2086 — we numerically integrated using *MERCURY* (Chambers 1999) as described in Paper I. We found them to be plausibly stable: starting on circular, coplanar orbits matching the phase and periods of the data, they suffered neither ejection, nor collision, nor a close encounter within 3 mutual Hill radii over a timespan of  $10^{10}$  innermost orbits. We also integrated the new parameters (Muirhead et al. 2012) for KOI-961 for the same timespan and found them to remain stable.

The only new system that became unstable was KOI-



**Figure 2.** Separation of inner and outer pairs of triples (and adjacent 3-planet subsets of systems of multiplicity at or above 3), in units of the mutual Hill separation. The symbols denote planets in triples (red triangles), quadruples (purple squares), quintuples (orange pentagons), sextuples (green hexagons), and the Solar System (black dots). Systems with individual pairs that are unstable are the gray area: a triangle denoting KOI-284 and two squares denoting KOI-2248. Other systems show three planets with particularly close spacing (below the dashed line), but these were numerically integrated and found to be long-term stable.

2248, discussed above. Aside from the hybrid integrator, we also ran the Burlisch-Stoer integrator in *MERCURY* and the planets began violent gravitational scattering in several synodic time scales. Clearly, this system needs a qualitatively different understanding for its architecture, as described above.

One more system with at least one new planet appeared close to instability, KOI-707 = Kepler-33. Already in the discovery paper (Lissauer et al. 2012) an analysis of stability was carried out, so we performed no additional analysis here.

These outcomes of our stability analysis are for an adopted  $M_p$ - $R_p$  relationship. To see how many systems would be unstable if the planets were denser, we considered various  $\alpha$  values above and below  $2R_\oplus$  (the approximate Super-Earth / mini-Neptune boundary) and recorded  $\Delta < 2\sqrt{3}$  for any adjacent pairs. Below  $2R_\oplus$ , for any  $\alpha$  below 6.9, no additional systems violate Hill’s stability given circular orbits. Therefore all these planets may have a terrestrial structure, for which  $\alpha \simeq 3.7$  (Valencia et al. 2006). For the region above  $2R_\oplus$ , no additional systems display instability for  $\alpha \leq 2.6$  [i.e.  $M_p = M_\oplus (R_p/R_\oplus)^{2.6}$ ], but at that value the pair of planets of KOI-523 and the outer two planets of KOI-620 would be unstable. Such a large  $\alpha$  would imply an extreme density for gas-giant planets though, exceeding that of the core-heavy transiting planet HD 149026 (Sato et al. 2005). From this exercise, we see that our conclusions about stability are not sensitive to our adopted masses. We also see that stability considerations give us

no additional insight into these planets’ physical structure.

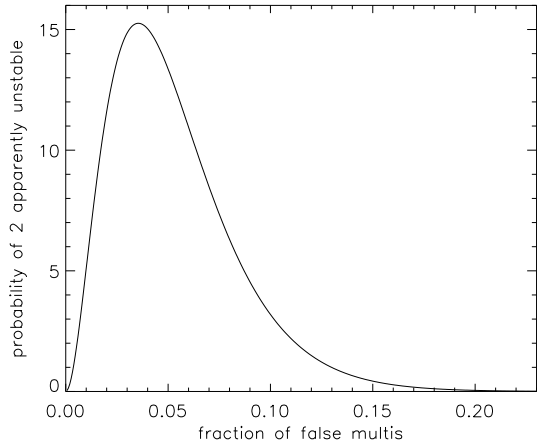
To summarize this stability study, for all the pairs of planet candidates, only two are expected to be unstable given low eccentricities and inclinations: KOI-284 and KOI-2248. Higher multiplicities do not appear unstable either, based on numerical integrations. If a mass-radius relationship favoring high density applies in reality, a few more systems could be unstable. We repeat the caveat that we have only considered instability while using initially circular orbits, and eccentric orbits could generally cause instability.

### 3.3. Fidelity of Multiple-Candidate systems

Morton & Johnson (2011) have emphasized that planet candidates from *Kepler*, once properly vetted, tend to be highly reliable (> 90%), and Lissauer et al. (2012) extended and strengthened this statement for candidate multiple-planet systems. The density of background eclipsing binaries is so low, and the small depth and detailed shape of transits is so difficult to mimic given the photometric precision of *Kepler*, that a transit signal (particularly several transit signals) is quite unlikely to occur via a combination of stars only. Moreover, *Kepler*’s exceptional signal-to-noise ratio and stability for centroid analyses means transit events occurring on background stars must lie very near the target star, in projection.

We can now address the statistical reliability of *Kepler*’s multiplanet candidates from a new and independent angle: with so few candidate planetary systems showing instability (2 out of 742 pairs, including the higher-order multiples), we expect most of these candidates are truly in real systems. Consider the possibility that pairs are “false mults,” defined as a system that appears to be a pair of planets around a star but is not. The most likely alternatives are (a) one or both members of the pair of candidates is a blended eclipsing binary, or (b) both members are planets, but they orbit different stars (Lissauer et al. 2012). In such “false multi” cases, there is no reason to expect the pair will obey stability constraints with respect to each other. Therefore we can calculate an expected rate of apparently unstable systems, given the hypothesis that all these candidate systems are false mults. If we draw two planets from the ( $P$ ,  $M_p/M_*$ ) values of all the planet candidates in mults, and consider whether that pair would be stable if in the same system,  $\Delta \leq 2\sqrt{3}$  occurs in  $29719/391170 \simeq 7.6\%$  of the draws (the precise numbers come from exactly sampling all the possible pairs). That is, one would expect 56.4 to be unstable over the whole set of pairs. Using the Poisson distribution, to have found two or fewer unstable pairs given the expectation value  $\lambda = 56.4$  has a probability of  $5 \times 10^{-22}$ . On the other hand, if only a fraction  $f$  of the systems are false mults, then the expected value of apparently unstable systems falls to  $\lambda f$ . Given that only two systems in our sample appear to be unstable, we can place simple Bayesian constraints on the fraction  $f$ . Let us take a prior uniform in  $f$  from 0 to 1:  $p(f) = 1$ . Then we can apply Bayes’ theorem to obtain the probability of  $f$  given the observations:

$$P(f|\text{data}) = \frac{P(\text{data}|f)}{\int_0^1 df' P(\text{data}|f')}, \quad (6)$$



**Figure 3.** Probability distribution of the fraction of “false multistars” given that we have found two pairs to be unstable.

where  $P(\text{data}|f)$  is the probability of the data given  $f$ , the only data we use is that we have found two apparently unstable systems, and  $f'$  is an integration variable which we marginalize over to determine the normalization. This probability distribution is given in figure 3, which shows a mode of 3.5% and a wide range of possible fractions: the 95% credible interval is 1.2% – 13%. This estimate is larger than the  $\lesssim 2\%$  of the candidates in multiple systems not being true planets estimated by Lissauer et al. (2012). In the present estimate, we are counting planets that are around two different stars in a physically bound binary as a false multi, which as discussed above, may account for our unstable pairs KOI-284 and KOI-2248.

These estimates are based on drawing certain  $P$  and  $M_p/M_\star$  values, which were in turn assumed to follow certain distributions, so let us examine those assumptions.

First, we have chosen a period distribution  $P$  matching the planet candidates in multiple systems. This distribution nearly matches the single-candidate period distribution, so this is appropriate if the false multi hypothesis is that a pair of planets are actually singles around hosts that are blended together. However, this distribution is narrower than the detached eclipsing binary distribution, which may be blended into some of the targets to produce the false multi signal. To explore this, we selected  $M_p/M_\star$  as above (a reflection of the distribution of observed depths) but replaced the periods by two draws from the list of eclipsing binaries labeled “detached” by Slawson et al. (2011). This was done in a Monte Carlo fashion, resulting in an unstable fraction  $\lambda/742 = 6.05\% \pm 0.04\%$ . Given that this expectation is lower than above, the fraction of false multistars would need to be higher to have produced two apparently unstable systems: the 95% credible interval is shifted to 1.5% – 16% under these different assumptions for the period ratio.

Second, we have adopted a particular mass-radius relationship, which gave  $M_p/M_\star$ . If the planets are actually denser than assumed, more would be deemed unstable, both from the observations and from the mock-systems

simulations. These would likely scale in rough concert, and the conclusions regarding the fraction of false multiples rest on the ratio of those two. Therefore the main conclusion, that the strong majority of candidate planet pairs are likely true planets around the same star, would be robust to the mass-radius relationship chosen.

By considering stability, we have seen that  $\approx 96\%$  of the pairs of multi-transiting candidates are actually planets around the same star. Recall that this is an independent estimate from Lissauer et al. (2012), who used binary statistics to estimate that in fully vetted systems,  $\approx 98\%$  are real planets. In the following sections we therefore rely on this purity, assuming all the systems are real<sup>16</sup> while characterizing their architectures.

#### 4. PERIOD RATIO STATISTICS

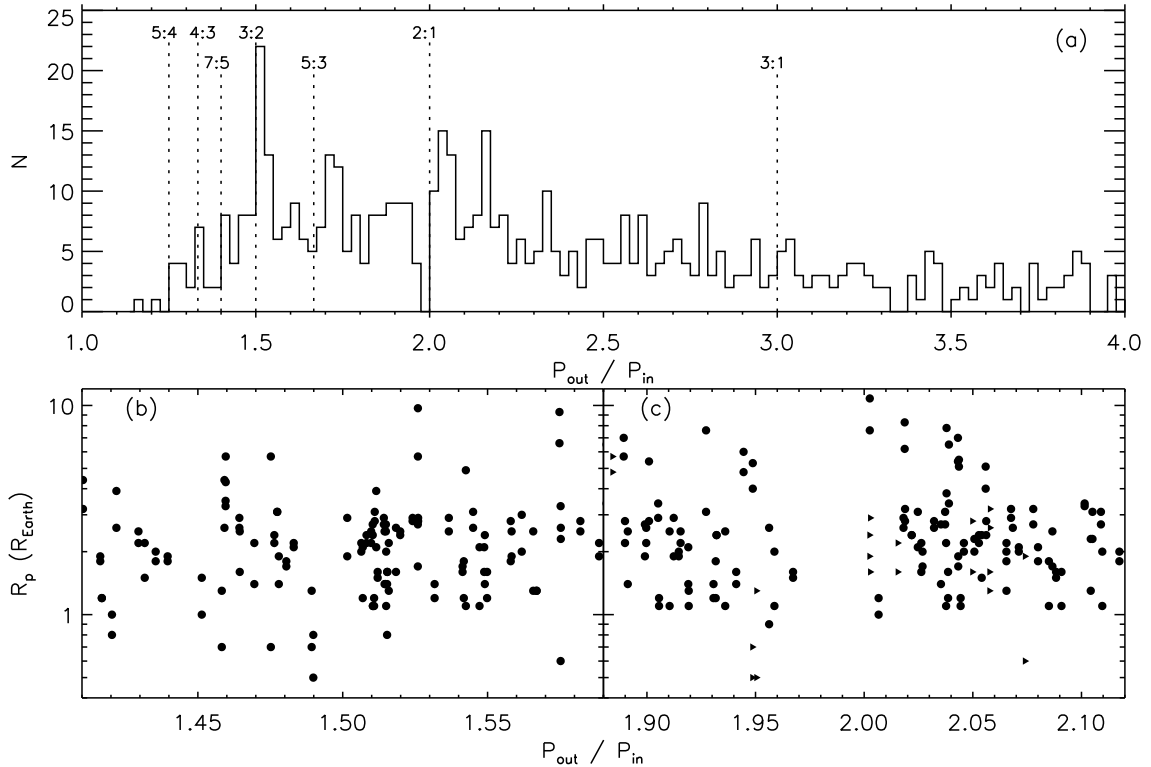
In figure 4 we plot the histogram of the period ratios ( $\mathcal{P} \equiv P_2/P_1$ ) of all pairs in all systems, not just adjacent pairs. It spans a wide range, from quite hierarchical configurations, to the edge of stability. There is an apparent cut-off narrow of the 5:4 resonance, however KOI-262 is likely a true system at 6:5, suggesting this region is not totally empty. The main conclusion of Paper I is supported still: planet pairs are quite rarely in resonance. However, as resonances do have dynamical significance, we address their statistics presently.

To address the statistics of first-order resonances, we use the  $\zeta_1$  variable introduced in Paper I:

$$\zeta_1 = 3 \left( \frac{1}{\mathcal{P} - 1} - \text{Round} \left[ \frac{1}{\mathcal{P} - 1} \right] \right), \quad (7)$$

which describes how far away from a first-order resonance a pair of planets is. This variable has a value 0.0 at values of the period ratio  $\mathcal{P} = (j + 1) : j$ , i.e. first-order resonances. Its value reaches  $-1$  and  $+1$  at the adjacent third-order resonances interior and exterior to the first-order resonance, i.e. at  $(3j + 2):(3j - 1)$  and  $(3j + 4):(3j + 1)$  respectively. The region between these third-order resonances is called the neighborhood of a particular first-order resonance. In figure 5 we plot the histogram of  $\zeta_1$ , in which all values of  $j$  and all planetary pairs contribute. As in Paper I, we find that a value between  $-0.1$  and  $-0.2$  exhibits an excess: planetary pairs prefer to be just wide of first-order resonances with each other. We compare the observed  $|\zeta_1|$  distribution to a random distribution, which is uniform in the logarithm of period ratios, via a K-S test. The null hypothesis is that period ratios are smoothly distributed, e.g. that they do not occur more often near ratios of integers (which correspond to dynamical resonances). A significant difference in these distributions is detected with  $p\text{-value} = 6.5 \times 10^{-4}$ : the systems do bunch towards the first-order resonance locations. In Paper I it was found that a different variable,  $\zeta_2$ , hinted that second-order resonances might similarly be bunched. However, we find with this expanded sample that  $|\zeta_2|$  is consistent with a logarithmically-uniform distribution of period ratios, with K-S test  $p\text{-value} = 0.78$ . Nevertheless, there

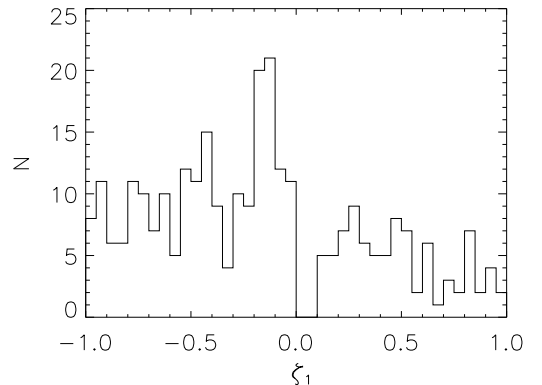
<sup>16</sup> Because of their apparent instability, from this point on we cull KOI-284.02, KOI-284.03, KOI-2248.01, and KOI-2248.04. KOI-284 becomes a single-planet system and drops from the analysis, and KOI-2248 becomes a two-planet system and is analyzed as such.



**Figure 4.** Period ratio statistics of all planet pairs. Panel (a): Histogram of all period ratios in the sample (i.e. pairwise between all planets in higher order multiples, not just adjacent planets), out to a period ratio of 4. First order (top row) and second order (lower row) resonances are marked. The mode of the full distribution is just wide of the 3:2 resonance, and there is an asymmetric feature near the 2:1 resonance. There is a sharp cut-off interior to the 5:4 resonance. Panel (b): Planetary radii versus the period ratio for planetary pairs near ( $\Delta\mathcal{P} < 0.06\mathcal{P}$ ) the 3:2 resonance. Both radii for each pair are plotted. Panel (c): same as panel b, but near ( $\Delta\mathcal{P} < 0.06\mathcal{P}$ ) the 2:1 resonance. Triangles denote planet pairs that are not adjacent, which have an intervening transiting planet.

may certainly be individual systems (e.g., KOI-738 = Kepler-29, Fabrycky et al. 2012) which are in a dynamical second-order resonance. We describe a more general formalism for the  $\zeta$  variable in appendix A, which gives context to our choice of equation 7 and will likely be useful in future investigations of the statistics of resonance.

Let us explore this preference for first-order resonances more. First, we compare the observed  $|\zeta_1|$  distribution to a random distribution solely around the neighborhood of 2:1 (between 7:4 and 5:2) alone. The distributions significantly differ, with a p-value of 0.029, however this has weakened from 0.00099 (in Paper I) with the expanded sample including more small planets. The more important resonance contributing to the first-order resonance result is that systems in the neighborhood of 3:2 (between 10:7 and 8:5) tend to be near 3:2;  $|\zeta_1|$  differs from a random distribution with a p-value of 0.0046. Looking back at panel a of figure 4, the global peak is just wide of the 3:2 resonance; a smaller peak exists just wide of 2:1. The peak at 3:2 appears to be a pile-up, in the sense that the spike is an excess on top of a baseline. The peak just wide of 2:1 contains only slightly more pairs than the trough just narrow of 2:1 is missing; this feature may imply an evolutionary “redistribution” determines this distribution more than a “pile-up” at the formation epoch. For a better view of these resonances, we plot scatter plots near these resonances in panels b and c of figure 4.



**Figure 5.** Histogram of  $\zeta_1$ , a variable describing the offset from first-order resonances (eq. 7), for all planetary pairs in the neighborhood of a first-order resonance, i.e. with a period ratio between 1 and 2.5. The spike between  $-0.1$  and  $-0.2$  means that period ratios just wide of first-order resonances are overpopulated relative to random.

In panel b, we focus on the region near period ratios of 1.5, and in panel c, near 2.0. Just wide of 1.5, we note a striking pile-up (spanning 1.505 to 1.520 for  $R_p \lesssim 3.0R_\oplus$ ). A similar over-density wide of 2.0 is apparent, but it is considerably more diffuse. These are the main

features that make  $|\zeta_1|$  non-random, as described above.

In these panels, we see more clearly the lack of pairs just narrow of the resonances, particularly for the 2:1 resonance. In both cases, this gap seems to be wider at larger planet sizes. Insofar as planet masses correlate with planet radii, this effect may be due to the fact that resonances are wider for more massive planets. To actually clear out these gaps, a dissipative effect needs to be invoked. This effect may simply be gravitational scattering, as in the case of the Kirkwood gaps in the asteroid belt: the resonance chaotically pumps up eccentricities (Wisdom 1983), and the bodies scatter off other planets, removing them from the resonance. Chaos was also noted by Murray (1986) in the 3:2 and 2:1 resonances at low eccentricity, which might be sufficient to produce the gaps in panels b and c. Another possibility is the action of tidal dissipation in the planet, pulling it towards the star and increasing the period ratio (Novak et al. 2003; Terquem & Papaloizou 2007). Yet another possibility is that, while the pair is still embedded in a gaseous disk, one planet may launch waves at its resonance location that interact with the other planet, preventing resonance capture (Podlewska-Gaca et al. 2012).

Last, we consider whether the pairs of planets close to first-order resonances are statistically closer to resonance than would be expected with random spacings. We have already discussed (pairwise going out in period): KOI-730 (4:3, 3:2, 4:3), KOI-2086 (5:4, 4:3), and KOI-262 (6:5); moreover KOI-1426.02/.03 are gas giants in 2:1 resonance. All these cases lie in the region  $|\zeta_1| < 0.05$ , however, they do not bunch to  $\zeta_1 \simeq 0$  significantly more than random. So even though these pairs are so close to exact resonances ( $\Delta\mathcal{P}/\mathcal{P} < 0.001$ ) and their dynamics is likely dominated by the resonance, they may be members of the smooth distribution of period ratios, and they do not necessarily point to differential migration which would produce a pile-up in resonance.

Our confidence is strengthened in systems with multiple, adjacent first-order resonances. We continue to take as the null hypothesis uniform spacing in  $\log \mathcal{P}$ , i.e. that near-resonant locations are not preferred. This spacing results in a nearly uniform distribution of  $\zeta_1$ , which means that two adjacent period ratios have  $|\zeta_{1,\text{in}}| + |\zeta_{1,\text{out}}|$  less than or equal to  $x$  with a probability  $x^2/2$ . (This is actually conservative estimate, as a logarithmic distribution of  $\log \mathcal{P}$  yields slightly less probability than a uniform distribution at small  $\zeta_1$ .) In the case of KOI-2086, the values of the two adjacent spacings are  $\zeta_{1,\text{in}} = -0.0324$  and  $\zeta_{1,\text{out}} = -0.0276$ , so such systems would be this close to a first-order resonant chain only  $p = 0.18\%$  of the time. However, given  $n = 160$  sets of three adjacent planets, the expectation value that at least one of them will show such a close chain is  $1 - (1 - p)^n = 25\%$ , therefore KOI-2086's chain is rather expected even if planetary pairs do not prefer resonances. Having 4 planets in a resonant chain would be less expected, and having  $|\zeta_{1,\text{in}}| + |\zeta_{1,\text{mid}}| + |\zeta_{1,\text{out}}|$  (where subscript 'mid' refers to the middle pair) less than or equal to  $x$  occurs with probability  $x^3/6$ . For KOI-730,  $\zeta_{1,\text{in}} = -0.0123$ ,  $\zeta_{1,\text{mid}} = -0.0186$ ,  $\zeta_{1,\text{out}} = -0.0063$ , and thus  $p = 8.6 \times 10^{-6}$ . There are  $n = 43$  sets of 4 adjacent planets, so the expectation value that at least one would show such a chain is  $1 - (1 - p)^n = 0.05\%$  : a

multi-resonant chain like that in KOI-730 is extremely unlikely if the orbital periods of planet candidates with a common host star were independent.

## 5. DURATION RATIO STATISTICS AND COPLANARITY

The durations in transiting planetary systems were recognized well before the *Kepler* launch to be a source for information on orbital eccentricity, as the eccentricity leads to changes in the orbital speed, and the duration is inversely proportional to projected orbital speed (Ford et al. 2008). Using the previous KOI catalog, Moorhead et al. (2011) performed such an analysis, finding evidence for moderate eccentricities among small planets. This result required knowledge of the stellar masses and radii. Several authors (Ragozzine & Holman 2010; Kipping et al. 2011) have also pointed out that in multiple planet systems, if one assumes that the planets are orbiting the same star, the properties of the star (most directly, its density) are probed by the durations and ingress and egress time scales of the transits. In such cases no detailed stellar model is needed, and constraints on the eccentricity of the planets is a by-product. Finally, it has been noted that gauging the relative transit durations of planets evident in the same lightcurve can serve as a means to validate them as planets around the same host star (Morehead et al. 2011; Lissauer et al. 2012, Morehead et al. in prep.). In these latter works, it is explicitly mentioned that a scaled duration should be equal between the planetary components to give high confidence that they are around the same star; we look into the details of that statement in this section.

Here we *assume* the planetary candidates are in true systems around the same star, and ask what the distribution of duration ratios tells us about coplanarity. In the limit that all the planetary orbits within a system are circular and coplanar, the normalized impact parameters  $b$  and semi-major axes  $a$  have the relationship:

$$b_2/b_1 = a_2/a_1 \quad [\text{coplanar, circular}] \quad (8)$$

where 1 signifies an interior planet and 2 signifies an outer planet. Thus we expect that  $b_2$  will be larger than  $b_1$  in systems where both planets are quite coplanar and both transit. Conversely, we note that for the Kepler-11 g/e and Kepler-10 b/c and pairs, the observed  $b_2$  is smaller than that given by equation 8, which means the orbits must be non-coplanar, to at least 1 degree and 5 degrees, respectively. Thus we expect the distribution of impact parameters can help us determine the distribution of mutual inclinations. For this method to have sensitivity, the typical mutual inclination must be  $i \lesssim R_*/a$ , where  $R_*$  is the host's radius and  $a$  is a typical semi-major axis. We find below that, somewhat surprisingly, planetary systems are flat enough to fulfill this requirement.

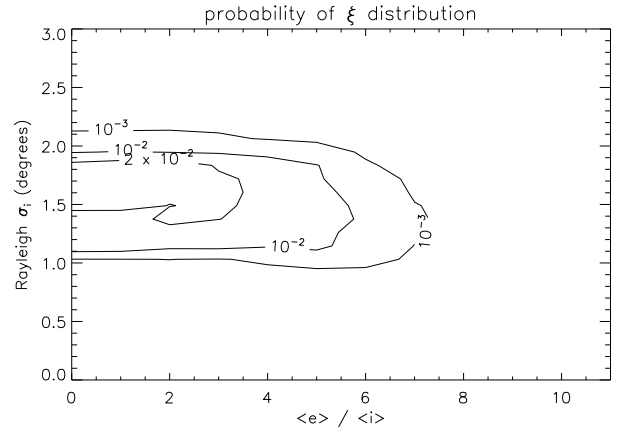
We do not have accurate stellar properties or good knowledge of impact parameters themselves. However, transit durations  $T_{\text{dur}}$ , from first to fourth contact, are well-measured and are  $\simeq 2\sqrt{((1+r)^2 - b^2)}R_*/v_{\text{orb}}$ , where  $r = R_p/R_*$  and  $v_{\text{orb}} \propto P^{-1/3}$ . Given the inner planet will be biased towards smaller  $b$  if the planets are nearly coplanar, and in most cases  $r \ll 1$ , we expect the ratio of orbit-velocity normalized transit duration,  $\xi$  (eq. 1), to be *greater* than 1 for quite coplanar systems. Let us test the null hypothesis that planets around the same



star are quite misaligned which would destroy such correlations, that their impact parameters are drawn from the same distribution. In such a case,  $T_{\text{dur},1}/P_1^{1/3}$  and  $T_{\text{dur},2}/P_2^{1/3}$  would follow the same distribution, therefore their ratios  $\xi$  or  $\xi^{-1}$  should also follow the same distribution as each other. We test that in figure 7, where the null hypothesis is that the black and red histograms agree. These histograms do not agree, with the center-of-mass of  $\xi$  lying at a significantly larger value than  $\xi^{-1}$ ; a Kolmogorov-Smirnov (K-S) p-value of  $5 \times 10^{-15}$ . On the other hand, a model distribution consisting of perfectly coplanar and circular systems would lie entirely above 1, and measurement error introduces additional spread at the few-percent level only, so some mutual inclination or eccentricity is clearly needed.

There are potential biases which could affect this conclusion. First, the outer planet’s  $r$  is typically larger (perhaps due to detection limits; Paper I), so this would bias  $\xi$  to values slightly less than 1, but we observe the opposite. Another aspect is that the box-least squares search that found most of these candidates (B12) was run over a range of durations  $0.003P$  to  $0.05P$ . Planets outside this range were found sub-optimally; the search algorithm loses sensitivity to the very shortest durations (largest impact parameters) at long period and the very longest durations (smallest impact parameters) at short period. Therefore this effect biases  $\xi$  downwards, again against the observed trend. We have not identified any straightforward instrumental or analysis bias that pushes the distribution to larger  $\xi$  values, as observed.

To simulate the observed  $\xi$  distribution, we also should take into account photometric noise and eccentricities. With respect to photometric noise, the error on a duration measurement is  $\sigma_{\text{dur}} \simeq T_{\text{dur}} \sqrt{2r}/\text{SNR}$  (Carter et al. 2008), typically  $\sim 1\%$ . We add a gaussian-random deviate with this standard deviation to the simulated durations. Eccentricity has two effects on the duration: (i) at a given inclination, it results in a different impact parameter and transit chord and (ii) the projected orbital speed changes; we model both these effects with Keplerian orbits. With these effects in place, the population model assumes mutual inclinations of planets are excited to a scale  $\delta$ , and eccentricities of both planets are excited to a scale a factor  $n$  times  $\delta$ . That is, the energy in the eccentricity epicycles is a certain number times equipartition with the energy in the inclination epicycles. Both the mutual inclination and eccentricity distributions are modeled as Rayleigh distributions, such that the Rayleigh widths are  $\sigma_i = \delta$  and  $\sigma_e = n\delta$ . This allows us to construct a Monte Carlo method to determine predicted distributions of  $\xi$  as a function of  $\delta$  and  $n$ . To evaluate this distribution, we make 250 mock systems for each observed pair of planets, where we have taken only the pairs where both planets are detected at  $\text{SNR} > 7.1$ , the nominal detection limit. For each mock system, step one is to draw the eccentricities:  $e \sin \omega$  and  $e \cos \omega$  are drawn from Gaussian distributions of width  $n\delta$  (resulting in a uniform distribution of  $\omega$  values and a Rayleigh distribution of  $e$  values). We discard a trial if either planets’ eccentricity is above 1 or if the inner planet’s apocenter distance exceeds the outer planet’s pericenter distance, given their period ratio. Step two is to draw  $b_2$  uniformly from  $[0, b_{2,\text{max}}]$ , where  $b_{2,\text{max}}$  is the impact parameter the



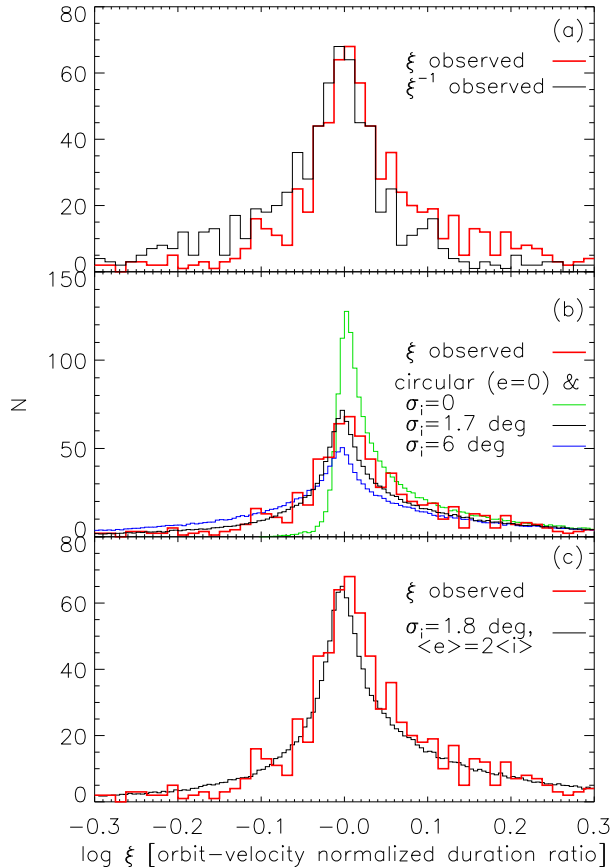
**Figure 6.** Kolmogorov-Smirnov p-value for inclined and eccentric systems. A region of acceptable probability lies in the range  $\sim 1.0^\circ - 2.3^\circ$ , for the Rayleigh parameter of the mutual inclination. The preferred value of eccentricity is near equipartition with the inclination, however the acceptable region (p-value=0.1%, equivalent to  $3 - \sigma$ ) spans a very wide range, from perfectly circular to 7 times equipartition.

planet would need for the total SNR of the outer planet to drop to 7.1. This modeled SNR is taken as the observed SNR times the square root of the ratio between the modeled duration and the observed one. Step three is to draw  $b_1$  from a distribution centered on  $b_2(a_1/a_2)$  (eq. [8]) and having a gaussian width  $\delta a/(R_\star + R_p)$ . If  $|b_1| > b_{1,\text{max}}$ , as above, this planet would not be detected in transit. If the conditions for acceptance are not met at each step, the process begins anew at step one. If accepted, the mock system’s  $\xi$  value contributes to the simulated  $\xi$  distribution.

Depending on the amount of inclination and eccentricity in the system, the simulated  $\xi$  distribution can be tuned to match the data. We computed a grid of models with steps of 0.002 in  $\delta$  and 1 in  $n$ , and we show in figure 6 the p-value from the K-S test for these models. The peak (best-fit) value has a probability 0.027 and lies at  $\delta = 0.032$ ,  $n = 2$ , corresponding to inclinations of  $\sim 1.8^\circ$ . The typical mutual inclinations lies firmly in the range  $1.0^\circ - 2.3^\circ$ : planetary systems tend to be quite flat.

In contrast to this narrow range of mutual inclination, the  $\xi$  distribution can be acceptably matched (p-value  $> 0.01$ ) over a wide range of eccentricities. The geometrical reason for that is that if inclinations change by 1%, the duration may change by order unity, but if eccentricities change by 1%, the duration usually changes by only 1%. The result is that quite good fits can be obtained both for circular orbits and for eccentricities twice equipartition (the black models of figure 7, panels b and c respectively), and indeed up to many times equipartition. Therefore we do not claim to have detected eccentricity in these planet pairs, or provided any limits beyond that from transit durations of individual candidates (Moorhead et al. 2011), but we instead note that our conclusion about mutual inclination is not sensitive to these poorly known parameters.

Our conclusion that *Kepler*’s planetary systems are flat was first investigated in Paper I, which used the number of planets of each multiplicity to show that the sys-



**Figure 7.** Histograms of normalized duration ratios (equation 1). Panel (a): the distributions of  $\xi$  itself and its inverse are contrasted. If planetary orbital planes are not correlated, these distributions would be equal. Instead, there is a signature of the inner planet having a longer duration, i.e. a smaller impact parameter. Panel (b): models of three different typical mutual inclinations, for circular orbits, are compared to the data, showing how these can be distinguished. Panel (c): the best-fitting model is compared to the data. This fit is not significantly better than the black line of panel b, as a wide range of eccentricities acceptably fits the data.

tems are likely quite flat (just a few degrees). However, it was possible that the typical inclination was higher than 10 degrees, in the case that planetary systems have many more planets ( $\gtrsim 10$ ) than expected. To rule out the latter, the RV-sample was brought to bear to limit planet multiplicity (Tremaine & Dong 2011; Figueira et al. 2012), breaking the degeneracy and preferring small planetary inclinations of just a few degrees. This conclusion requires significant overlap between the current RV sample and the *Kepler* sample, which remains poorly quantified. Now, having reached the same conclusion from the *Kepler* sample alone, our confidence that planetary systems are typically flat is bolstered.

Nevertheless, some caveats apply to our investigation. We have used all pairs of planet candidates throughout, such that the  $n$ -planet systems are represented more, by a total of  $n(n-1)/2$  pairs. Thus the architectures of larger- $n$  systems carry more statistical weight. Nevertheless, by simulating all planet configurations and only comparing

the doubly-transiting simulated pairs to the data, our determination of  $\sigma_i$  is unbiased. Another caveat is that the distribution of inclinations may not be well-characterized by a single Rayleigh distribution, and high-inclination components of the actual distribution would contribute less statistical weight. Thus, as with all applications of parameter-fitting, the limits given on the parameter  $\sigma_i$  hold only to the extent that a member of the family of model distributions describes the actual distribution.

## 6. DISCUSSION

Using the new catalog from *Kepler* doubling the numbers of planet candidates (B12), we have investigated the architecture of planetary systems anew. We have shown that the candidates avoid close orbital spacings that would destabilize real planets' orbits; from this we derived a likely fraction of  $\approx 96\%$  of the candidate pairs are really pairs of planets orbiting the same star.

We found that planetary systems are usually not resonant but do show interesting clumping just wide of first-order resonances 2:1 and 3:2, and a gap just narrow of them. It is not yet clear whether formation mechanisms or evolution mechanisms account for this pattern.

The flatness of planetary systems, described based on multiplicity statistics by Paper I, was revisited here based on duration ratio statistics. We affirm and strengthen the result that pairs of planets tend to be quite well aligned, to within a few degrees. This new constraint uses the *Kepler* data alone, a more direct measurement than has been performed so far.

In future work (Ragozzine et al. in prep, Morehead et al. in prep), we will create population synthesis models, that propose an ansatz of planet distributions, run them through the selection functions of *Kepler*, and reproduce the planet multiplicities, period spacings, and duration ratios actually seen. This work serves as a stepping stone, as it has looked within the data itself for validation of the trends, by forming period and duration ratios.

### 6.1. Comparison to the Solar System

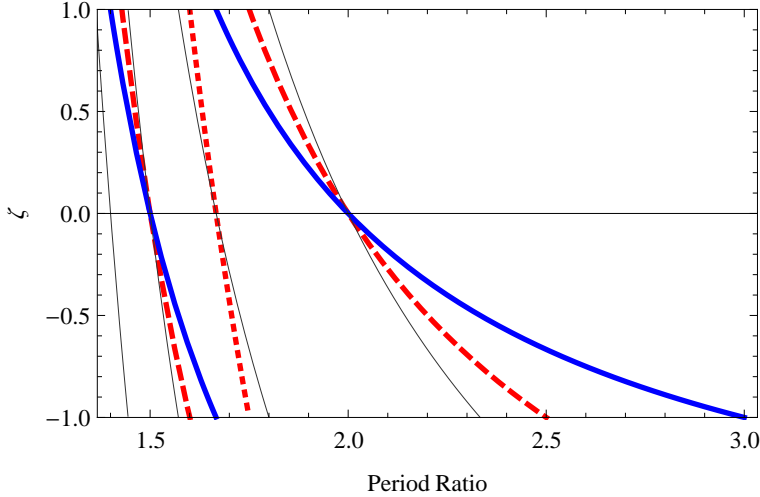
In this paper we have described the architecture of a set of multiple planets whose gross structure is completely alien. The sample is dominated by Neptunes and Super-Earths whose orbits are of order 10 days: nothing like that exists in the Solar System. In that sense, the *Kepler* sample of multiply-transiting planetary systems follows the trends of exoplanetary science over the past 20 years.

However, a striking feature of the Solar System is its extreme coplanarity. This property of planetary systems has only started being assessed (Paper I; Tremaine & Dong 2011; Figueira et al. 2012). Perhaps no observation is more crucial for theories of the Solar System's formation in a gaseous disk encircling the proto-Sun. For exoplanetary systems detected by radial velocity, there is typically no information on the inclination of individual planets, and only weak information (from stability, generally) available regarding their inclination with respect to one another. Thus it is exciting that, with the transit discoveries, we now have a statistical sample to assess the degree of flatness of extrasolar systems. The remarkable conclusion is that the value for the spread in inclinations in the Solar System ( $\sigma_i = 2.1^\circ - 3.1^\circ$ , or  $1.2^\circ - 1.8^\circ$  excluding Mercury, see appendix B) is very similar to the value from the exoplanets ( $\sigma_i = 1.0^\circ - 2.3^\circ$ ). Moreover,

although we have not demonstrated it with the data, we would expect the eccentricities of these planets to be roughly in equipartition with their mutual inclinations ( $e \sim 0.03$ ), suggesting values compatible with the Solar System planets. Although the radial velocity technique has discovered many systems of super-Earths and Neptunes (Mayor et al. 2011), the population we are detecting here, their eccentricities have not yet been securely measured; we suggest they will turn out to be small. This is in contrast to the giant exoplanets found to date, but it may continue the trend that lower mass exoplanets have lower eccentricities (Wright et al. 2009).

Finally, we may ask whether the planets of the Solar System show any such resonant structure. The only pair close to a first-order mean-motion resonance is Uranus ( $4.0R_{\oplus}$ ) and Neptune ( $3.9R_{\oplus}$ ), whose period ratio is 1.96. These values lie near the border of the gap in panel c of figure 4. As the origin of this gap remains unclear, it is hard to know whether Uranus and Neptune's proximity to it has physical significance.

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**Figure 8.** The value of  $\zeta$  as a function of the period ratio of two planets. If only first order resonances are studied, then one uses  $\zeta_{1,1}$  (solid, blue) where all period ratios are assigned to a neighborhood of a first-order resonance. If one simultaneously considers first and second-order resonances, then  $\zeta_{2,1}$  (dashed, red) and  $\zeta_{2,2}$  (dotted, red) are used where all period ratios are assigned either to the neighborhood of a first or a second order resonance (these are  $\zeta_1$  and  $\zeta_2$  of the main text). Finally, if one wishes to partition the real line into neighborhoods around only second order resonances, then  $n = 1$  and  $j = 2$  and the result is  $\zeta_{1,2}$ , the thin solid curves.

#### APPENDIX

##### REGARDING THE RESONANCE VARIABLE $\zeta$

In this section we discuss in more detail the quantity  $\zeta$ . The general form of  $\zeta$  is given by

$$\zeta_{n,j} = (n+1) \left( \frac{j}{\mathcal{P}-1} - \text{Round} \left[ \frac{j}{\mathcal{P}-1} \right] \right) \quad (\text{A1})$$

where  $\mathcal{P}$  is the ratio of the periods of the two planets (always greater than unity),  $j$  is the resonance order under consideration, and  $n$  is the number of resonance orders that are simultaneously being considered. This last statement means that the real line is partitioned into non-overlapping neighborhoods around MMRs up to order  $n$ . The boundaries between resonances are always defined by resonances of the lowest order not considered. The motivation for defining this quantity was to provide a means of treating equally all resonances under study, even though their neighborhoods are different sizes (approaching zero as the index  $j \rightarrow \infty$ ).

For example, in Paper I and in section 4, both first and second order resonances are considered ( $n = 2$ ) and the quantities  $\zeta_1$  and  $\zeta_2$  (here  $\zeta_{2,1}$  and  $\zeta_{2,2}$ ) are given by

$$\zeta_{2,1} = 3 \left( \frac{1}{\mathcal{P}-1} - \text{Round} \left[ \frac{1}{\mathcal{P}-1} \right] \right) \quad (\text{A2})$$

and

$$\zeta_{2,2} = 3 \left( \frac{2}{\mathcal{P}-1} - \text{Round} \left[ \frac{2}{\mathcal{P}-1} \right] \right) \quad (\text{A3})$$

where  $\zeta_1$  is applied to those planet pairs that fall into the neighborhoods of the first order resonances and  $\zeta_2$  is applied to the pairs in the neighborhoods of the second order resonances. The boundaries between these resonance neighborhoods is defined by the intermediate, third order resonances (the lowest order resonances not considered).

Suppose, however, that one wanted to assign all period ratios into the neighborhood of a first order resonance only, without considering second order resonances. Then the proper quantity is  $\zeta_{1,1}$ , which is contrasted to the  $\zeta_{2,1}$  in figure 8. For our sample, choosing such a broad resonance neighborhood includes possible features in the continuum or near the second or higher order resonances and hence dilutes the power of the statistical tests we employ here. However, situations may arise where a selection criteria, such as examining only higher-index first order resonances such as 4:3, 5:4, etc., may justify the use of  $\zeta_{1,1}$ . Therefore we recommend it for future work with *Kepler*, as smaller planets are more likely to be found in such tightly packed configurations, and a longer baseline will have the sensitivity to see them. One other possibility would be to study only second order resonances (including 4:2 and 6:4), in which case one would use the  $\zeta_{1,2}$  variable. Figure 8 contrasts these different choices for mapping period ratios into a space more suitable for studying resonances.

## SOLAR SYSTEM MUTUAL INCLINATION DISTRIBUTION

Here we compute the best-fit Rayleigh distribution of the mutual inclinations for the Solar System planets. There are a total of  $n(n-1)/2 = 28$  pairs for the  $n = 8$  planets. We used on the Keplerian elements at J2000 provided by the JPL Solar System Dynamics website<sup>17</sup> to find the set of 28 mutual inclinations. This set was fit to a Rayleigh distribution, and the Rayleigh parameter constrained using the same Bayesian technique as section 3.3. The 95% credible interval was found to be  $\sigma_i = 2.5^\circ |_{-0.4^\circ}^{+0.6^\circ}$ . The planet Mercury is well-known as an outlier in inclination, and when this exercise is repeated just with the other 7 planets, the result is  $\sigma_i = 1.4^\circ |_{-0.2^\circ}^{+0.4^\circ}$ . These results were obtained with a uniform prior on  $\sigma_i$ , but since the allowed region is in each case rather narrow, the calculations are not sensitive to the prior. For a comparison of these results to the *Kepler* sample, see section 6.1.

*Facilities:* Kepler.

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<sup>17</sup> <http://ssd.jpl.nasa.gov>

**Table 1**  
 Characteristics of Systems with Two Transiting Planets (entire table is in the source)

KOI #	$R_{p,1}$ ( $R_{\oplus}$ )	$R_{p,2}$ ( $R_{\oplus}$ )	$P_2/P_1$	$\Delta$
5	5.7	0.7	1.47518	8.1
46	4.3	1.0	1.72867	13.5
72	1.4	2.2	54.08308	87.4
89	4.9	6.5	2.45136	17.3
108	2.9	4.5	11.24943	44.3
112	1.2	2.9	13.77101	65.0
119	3.8	3.3	3.86939	26.8
123	2.6	2.7	3.27424	31.1
124	3.0	3.6	2.49937	21.1
139	1.5	7.3	67.26747	47.2
150	2.6	2.7	3.39808	28.9
153	2.1	2.5	1.87739	16.9
159	1.3	2.7	3.74052	39.1
171	2.7	2.1	2.19001	23.2
209	5.4	8.3	2.70221	14.0
216	1.5	7.2	2.68293	15.4
220	3.5	0.8	1.70309	14.1
222	2.0	1.7	2.02687	19.7
223	2.5	2.3	12.90608	54.2
238	2.4	1.4	1.54905	14.7
244	3.4	6.5	2.03899	12.6
251	2.6	0.8	1.38663	9.1
260	1.6	2.6	9.55471	61.4
262	2.2	2.8	1.20014	5.5
270	1.8	2.1	2.67619	31.1
271	2.5	2.6	1.65454	15.0
274	1.1	1.1	1.51041	21.5
275	1.9	2.0	5.20519	52.9
279	2.1	4.6	1.84618	13.3
282	1.2	3.4	3.25259	30.7
291	2.1	2.7	3.87681	36.9
304	1.1	4.9	1.54250	10.0
307	1.1	1.8	3.77548	52.3
312	1.9	1.8	1.41630	12.8
313	1.6	2.2	2.22082	25.5
316	2.7	2.9	9.95884	51.9
338	1.5	3.2	2.25601	22.0
339	1.6	1.7	6.48067	60.9
341	1.6	3.0	3.05158	30.3
369	1.1	1.1	1.71695	26.8
370	2.6	4.3	1.86849	14.8
379	2.6	1.8	3.44421	36.8
386	3.2	2.9	2.46264	21.2
392	1.3	2.3	2.64990	32.5
401	6.6	6.7	5.48026	21.9
413	2.8	2.1	1.62021	12.8
416	2.8	2.7	4.84703	35.5
427	4.3	2.6	1.74490	11.4
431	2.8	2.5	2.48550	21.9
433	5.6	12.9	81.43980	28.5
438	1.7	2.1	8.87876	53.0
440	2.4	2.3	3.19834	29.9
442	1.4	2.3	7.81624	60.6
446	1.8	1.7	1.70872	16.2
448	1.8	2.3	4.30085	34.8
456	1.5	3.3	3.17889	28.6
457	1.8	2.0	1.43543	11.3
459	1.2	3.1	2.81027	27.6
464	2.6	6.7	10.90841	33.5
471	1.0	2.3	2.73298	34.0
475	2.0	2.3	1.87181	17.3
497	1.5	2.7	2.98125	33.4
505	1.6	2.9	2.22206	21.9
508	3.4	3.3	2.10147	17.4
509	2.2	2.7	2.75106	26.7
511	1.3	2.2	1.87764	20.1
518	2.1	1.5	3.14698	32.4
519	2.4	2.5	2.85925	29.1
523	2.9	7.0	1.34073	5.0
534	1.7	2.4	2.33931	24.7
542	1.4	2.4	3.03135	33.3
543	1.4	2.2	1.37105	10.3
546	2.3	3.1	2.10507	20.2
551	2.0	2.2	2.04588	22.2
555	1.4	2.7	23.36546	69.4
564	2.6	6.1	6.07473	29.1
569	1.3	2.4	12.69431	64.8
572	1.2	2.4	2.15436	24.3
573	1.7	3.0	2.90831	29.0
574	1.1	2.5	1.93604	20.3
579	1.6	1.8	1.86286	21.2

**Table 2**  
Characteristics of Systems with Three Transiting Planets

KOI #	$R_{p,1}$ ( $R_{\oplus}$ )	$R_{p,2}$ ( $R_{\oplus}$ )	$P_2/P_1$	$\Delta_{1,2}$	$R_{p,3}$ ( $R_{\oplus}$ )	$P_3/P_2$	$\Delta_{2,3}$
41	4.5	6.5	1.86083	12.7	6.1	2.75701	18.6
85	3.2	4.1	2.71933	27.8	4.4	1.38758	8.6
111	4.6	5.8	2.07117	15.6	7.4	2.18673	14.1
115	2.6	2.8	1.57522	17.9	2.8	1.31665	10.6
116	2.8	3.4	2.20129	25.8	6.4	3.23081	26.4
137	2.1	3.5	2.18034	33.0	3.6	1.94449	24.5
148	2.8	3.5	2.02469	21.2	5.9	4.43418	31.4
152	5.0	6.8	2.03215	18.9	8.6	1.90096	14.4
156	2.5	2.6	1.54982	14.1	2.9	1.46446	11.6
168	5.0	6.4	1.51156	10.3	6.1	1.42187	8.3
245	3.0	3.8	1.59358	13.1	4.6	1.86802	15.2
284	3.2	3.5	1.03830	1.0	2.9	2.80755	26.9
314	2.1	2.4	1.33641	10.5	2.0	1.67545	18.6
343	2.5	3.4	2.35246	28.9	7.5	8.78033	40.9
351	7.8	12.0	3.52522	27.0	14.4	1.57482	8.5
377	1.8	4.2	12.09936	98.0	4.5	2.01864	26.9
398	1.7	2.4	2.41710	55.7	4.8	12.40337	89.6
408	3.3	4.0	1.70156	17.8	5.1	2.45423	25.2
474	3.1	3.7	2.64821	29.5	5.3	3.27334	28.8
481	1.8	2.8	4.92295	56.9	5.0	4.47836	37.5
490	2.5	2.9	1.68583	16.5	3.4	2.94410	29.9
510	2.8	3.4	2.17289	24.8	3.8	2.28942	23.8
520	2.4	3.6	2.34861	28.1	2.9	2.01809	22.4
528	3.4	2.5	2.14612	25.8	6.0	4.70359	36.4
567	3.6	4.7	1.89968	17.3	4.2	1.42949	9.3
620	5.8	2.8	1.88931	22.4	8.4	1.52595	12.0
623	3.8	4.3	1.84837	13.7	5.3	1.51478	8.3
658	1.9	2.1	1.69812	21.6	3.4	2.10959	23.7
664	4.1	4.8	1.68814	11.9	6.2	1.78436	11.3
665	3.2	4.1	1.90558	18.7	4.1	1.91044	17.3
700	3.3	3.8	1.56691	13.1	2.9	2.10428	22.1
701	2.3	3.0	3.17836	36.6	7.1	6.73808	34.5
711	3.2	6.4	12.35005	52.6	9.8	2.78581	18.0
718	3.3	5.8	4.95356	36.7	5.7	2.10897	16.0
723	1.8	1.8	2.56257	47.4	4.6	2.78349	32.9
749	2.5	3.1	1.35740	10.3	3.2	1.51589	12.9
756	2.5	3.3	1.61086	19.1	5.0	2.68342	29.9
757	2.6	3.5	2.56977	37.3	4.6	2.56355	30.7
775	2.4	3.1	2.08001	22.8	4.2	2.22435	20.5
806	4.3	6.7	2.06841	24.4	8.7	2.37410	23.1
829	4.0	4.5	1.91233	17.8	5.0	2.06759	18.4
864	2.7	1.5	2.26525	33.4	4.5	2.05281	21.9
884	2.1	2.9	2.82940	44.6	3.2	2.16925	29.8
886	3.4	5.0	1.50690	10.2	3.8	1.73922	13.3
898	2.2	2.6	1.88991	25.6	3.6	2.05616	24.1
899	1.8	2.2	2.15140	28.6	2.5	2.16037	25.8
906	2.4	2.6	1.72542	20.3	2.3	2.46593	33.5
921	1.9	3.6	2.71716	34.1	4.4	1.76229	15.9
934	3.2	3.4	2.13023	26.7	4.0	1.51034	13.6
938	1.8	2.3	5.46504	72.2	3.5	1.74052	20.2
941	1.9	3.1	2.76224	43.5	2.9	3.74756	49.2
961	0.4	0.5	2.67770	145.0	0.5	1.53662	60.9
1060	4.5	4.7	1.72215	12.4	5.6	1.47794	8.2
1078	1.5	1.5	2.05070	35.7	3.0	4.13871	49.2
1127	3.0	3.7	1.87772	19.8	4.1	1.52592	12.1
1161	2.7	3.8	2.03860	19.1	3.0	1.80557	15.6
1194	2.2	2.5	2.08501	25.8	3.0	1.70686	17.1
1203	3.6	5.4	2.25665	21.0	3.8	1.52602	11.0
1306	1.8	2.3	1.93064	22.1	2.5	1.70531	16.2
1358	2.0	2.2	1.54722	17.5	2.6	1.54883	15.9
1422	1.7	2.0	1.61304	21.4	3.0	3.39804	42.2
1426	6.1	4.9	1.92722	15.4	4.3	2.00258	18.4
1430	2.3	2.0	2.18886	30.8	4.8	3.37915	32.3
1432	2.9	3.9	2.35246	24.5	4.9	2.18634	19.0
1436	2.4	2.3	2.16024	24.2	4.0	2.53756	22.9
1445	4.6	7.0	2.79257	16.8	9.6	2.71456	12.9
1576	2.7	3.0	1.25618	8.6	2.7	1.78386	21.5
1598	2.2	5.8	4.05393	36.3	7.1	1.64456	10.5
1647	4.5	6.0	2.36461	17.5	7.3	2.15601	13.4
1805	2.3	2.2	1.59105	26.0	4.1	4.57869	61.1
1832	1.8	1.9	2.80827	50.1	3.4	3.03365	40.3
1835	2.0	2.2	2.03724	32.3	1.7	1.47738	18.9
1860	2.6	3.2	2.05409	24.0	4.2	1.93204	18.6
1867	1.6	2.2	2.04438	25.3	1.6	2.68010	34.5
1895	2.3	2.8	2.04329	23.2	2.7	1.85954	19.3
1909	3.2	4.1	2.33221	20.5	3.0	1.96727	16.7
1916	3.0	4.7	4.74106	36.5	5.1	2.15406	16.5
1931	2.6	3.3	1.40392	9.5	3.4	1.51083	10.5
1952	3.8	4.4	1.54179	10.5	6.2	3.45365	23.7
2025	5.1	6.0	1.59510	10.8	6.9	1.46432	8.0
2073	2.6	2.1	2.60343	36.3	5.9	2.93633	26.4

**Table 3**  
Characteristics of Systems with Four Transiting Planets

KOI #	$R_{p,1}$ ( $R_{\oplus}$ )	$R_{p,2}$ ( $R_{\oplus}$ )	$P_2/P_1$	$\Delta_{1,2}$	$R_{p,3}$ ( $R_{\oplus}$ )	$P_3/P_2$	$\Delta_{2,3}$	$R_{p,4}$ ( $R_{\oplus}$ )	$P_4/P_3$	$\Delta_{3,4}$
94	3.6	5.2	2.78467	39.0	6.7	2.14348	24.2	8.5	2.43118	23.7
117	3.7	4.4	1.54136	12.3	4.3	1.62358	13.2	6.7	1.85339	14.0
191	1.5	2.1	3.41293	90.9	4.2	6.35081	84.2	5.3	2.51658	35.5
248	1.9	3.0	2.79590	39.0	2.9	1.51489	14.6	2.9	1.70405	18.8
250	2.1	2.9	3.46601	48.5	2.2	1.40446	13.7	2.4	2.71449	42.2
571	2.0	2.4	1.86972	21.7	2.8	1.83606	18.7	3.4	1.67933	14.1
720	2.1	2.5	2.03533	28.8	2.6	1.76459	21.8	2.4	1.82941	23.6
730	5.6	5.8	1.33379	6.6	6.1	1.50156	8.9	5.7	1.33357	6.4
733	2.1	2.6	1.89120	25.3	3.0	1.91549	22.6	1.9	1.64273	18.7
812	1.9	2.3	2.34265	34.0	3.3	2.56366	30.6	4.5	2.30217	21.8
834	3.5	4.8	2.94412	35.6	6.6	2.14984	20.8	8.3	1.78742	13.3
869	2.1	2.8	2.32629	32.3	2.4	2.33114	31.3	4.9	2.07782	20.8
880	2.2	2.8	2.47684	39.1	4.0	4.48017	49.6	6.4	1.94873	17.4
907	2.8	4.3	3.44696	39.1	5.1	1.82467	16.6	5.3	3.30662	29.8
935	3.4	5.7	2.16923	24.5	6.8	2.04360	18.6	9.3	2.05591	15.7
939	2.1	2.7	2.09080	24.2	3.1	1.72345	15.9	3.6	1.82936	16.0
952	1.8	2.3	2.03771	29.1	2.5	1.48308	14.8	3.4	2.60289	30.0
1198	0.9	4.9	10.21309	62.1	5.8	1.56180	10.7	5.8	2.21750	17.8
1557	1.4	1.8	2.19839	44.5	1.2	1.61300	28.3	2.9	1.81594	27.5
1563	2.0	3.0	1.71184	21.7	3.2	1.51105	14.6	3.0	2.01881	24.4
1567	2.3	3.1	1.55829	15.8	4.4	2.39310	24.3	5.6	2.58806	21.7
1930	5.9	7.4	1.46948	7.1	8.8	1.77102	9.2	10.3	1.82766	8.7
2038	3.8	4.4	1.50640	10.3	4.7	1.43165	8.4	5.3	1.40778	7.5
2169	2.2	2.1	1.48984	12.4	2.2	1.30789	8.3	2.2	1.27635	7.4
2248	1.4	1.9	3.47299	49.0	1.9	1.06496	2.4	1.9	3.36783	43.6

**Table 4**  
Characteristics of Systems with Five or Six Transiting Planets

KOI #	$R_{p,1}$ ( $R_{\oplus}$ )	$R_{p,2}$ ( $R_{\oplus}$ )	$P_2/P_1$	$\Delta_{1,2}$	$R_{p,3}$ ( $R_{\oplus}$ )	$P_3/P_2$	$\Delta_{2,3}$
70	2.5	2.8	1.64997	19.7	3.8	1.77980	19.3
82	2.4	3.2	1.33748	10.3	3.2	1.45825	12.2
232	3.8	5.0	2.16191	23.2	4.9	1.73170	15.6
500	1.4	2.0	3.11330	55.1	2.1	1.51206	18.3
707	4.9	6.8	2.32460	22.9	8.2	1.65274	11.8
904	1.7	2.1	2.08832	28.7	2.4	2.20868	27.4
1364	2.0	2.5	1.43956	16.3	3.5	1.89909	23.2
1589	3.1	4.3	2.06549	19.8	4.3	1.47638	9.8
157	4.2	4.57	1.26406	4.8	5.5	1.74179	13.5

**Table 5**  
Characteristics of Systems with Five or Six Transiting Planets, Continued

KOI #	$R_{p,4}$ ( $R_{\oplus}$ )	$P_4/P_3$	$\Delta_{3,4}$	$R_{p,5}$ ( $R_{\oplus}$ )	$P_5/P_4$	$\Delta_{4,5}$	$R_{p,6}$ ( $R_{\oplus}$ )	$P_6/P_5$	$\Delta_{4,5}$
70	3.6	1.80373	18.3	7.3	3.96427	29.9			
82	3.7	1.56575	13.6	3.9	1.70038	15.1			
232	6.5	1.76016	14.5	8.2	1.48052	8.5			
500	2.5	1.51838	16.9	2.5	1.34997	11.6			
707	9.0	1.45961	8.1	10.0	1.29085	5.1			
904	3.5	2.74039	28.3	5.1	1.50821	9.2			
1364	4.0	1.69768	16.7	4.4	1.73927	16.2			
1589	5.2	2.12962	17.4	6.4	1.62371	6.3			
157	4.3	1.41032	8.6	6.5	1.45917	8.8	9.5	2.53526	16.23